



SAD  
#4  
11-26-01

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants:** Manfred Jordan, et. al.

**Examiner:** Unassigned *Leader*

**Serial No.:** 09/854,131

**Art Unit:** 1741

**Filed:** May 11, 2001

**Docket:** 14611Z

**For:** AQUEOUS SOLUTION FOR  
ELECTRODEPOSITING  
TIN-ZINC ALLOYS

**Dated:** August 13, 2001

Assistant Commissioner for Patents  
Washington, D.C. 20231

RECEIVED  
AUG 20 2001  
TC 1700

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. European Patent Publication No. 0 663 460 A1, published July 19, 1995;
2. United States Patent No. 4,184,928, published January 22, 1980;
3. United States Patent No. 4,168,223, published September 18, 1979;
4. UK Patent Publication No. 2 266 894 A, published November 17, 1993;

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in August 13, 2001.

Dated: August 13, 2001

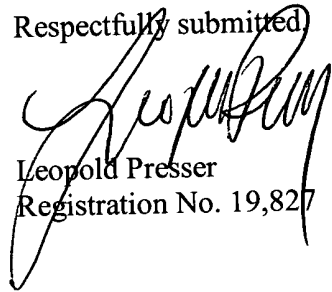
*Michelle Mustafa*  
Michelle Mustafa

5. Japanese Patent Abstract Publication No. 10168592, published June 23, 1998;  
and
6. United States Patent No. 5,378,346, published January 3, 1995.

The references were cited in a Search Report received from the European Patent Office, dated March 1, 2001. Applicants are submitting copies of the above-cited references, together with a copy of the Search Report. The relevance of the above-identified references has been described in the Search Report. A translation of the EPO Examiner's Search Report is also enclosed. Also, please note that the following two references are also cited in the specification of the instant application: 1) EP 0 663 460 A1 and 2) United States Patent No. 5,378,346.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required

Respectfully submitted



Leopold Presser  
Registration No. 19,827

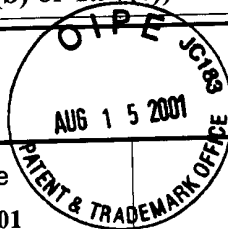
Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343

LP:dg

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
14611Z

In Re Application Of:  
Manfred Jordan, et al.



Serial No.  
09/854/131

Filing Date  
May 11, 2001

Examiner  
Unassigned

Group Art Unit  
1741

Title:

AQUEOUS SOLUTION FOR ELECTRODEPOSITING TIN-ZINC ALLOYS

RECEIVED  
AUG 20 2001  
TC 1700

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

on \_\_\_\_\_  
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

\*This certificate may only be used if paying by deposit account.

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on August 13, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Michelle Mustafa

Typed or Printed Name of Person Mailing Correspondence

Dated: August 13, 2001

Signature

Leopold Presser  
Registration No. 19,827  
SCULLY, SCOTT, MURPHY & PRESSER  
400 Garden City Plaza  
Garden City, NY 11530  
(516) 742-4343

LP:dg

CC:

1741

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
14611Z

In Re Application Of:

Manfred Jordan, et al.



Serial No.  
09/854/131

Filing Date  
May 11, 2001

Examiner  
Unassigned

Group Art Unit  
1741

Title:

AQUEOUS SOLUTION FOR ELECTRODEPOSITING TIN-ZINC ALLOYS

RECEIVED  
AUG 20 2001  
TC 1700

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).